

APPENDIX 1

APPENDIX 7(a) - VERIFICATION UNDER OATH FORM SEPARATE OATHS TO BE COMPLETED BY HOSPITAL'S CEO AND CFO

STATE OF VERMONT
Green Mountain Care Board

In re: FY 2022 Hospital Budget Submission Northwestern Medical Center.

Hospital CEO/CFO Verification on Oath or Affirmation

I, Stephanie Breault, make the following declarations based on my personal knowledge:

1. I am the Chief Financial Officer of Northwestern Medical Center ("Hospital"). I am a resident of Vermont, am over 18 years old, and am competent to testify to the information contained in this document.
2. I have reviewed the proposed FY 2022 budget and supporting materials the Hospital will submit to the Green Mountain Care Board ("Budget Submission").
3. Given the uncertainty related to the COVID-19 pandemic, to the best of my knowledge, information, and belief the information contained in the Budget Submission is the most accurate prediction and does not omit material facts necessary to provide a full and complete understanding of the Hospital's financial standing.
4. To the extent my verification is based on something other than my personal knowledge, it is based on information, opinions, reports, and statements, including financial statements and other financial data, I reasonably believe to be true, accurate, reliable, and complete. This information was presented or otherwise provided to me by the Hospital's officers, employees, legal counsel, public accountants, or other persons whom I reasonably believe are reliable, trustworthy, and have the necessary professional competence and expertise to advise on the matters presented.
5. Within the past 12 months, I have reviewed and am familiar with the policies and procedures by which the information contained in the Hospital's Budget Submission was prepared. I have determined that such policies and procedures are sufficiently effective to ensure that all information submitted or used by the Hospital in connection with the Budget Submission is true, accurate, and complete. I have disclosed to the Hospital's Board of Directors all significant deficiencies in such policies and procedures, of which I have personal knowledge after diligent inquiry. To the extent any misrepresentation of facts, whether or not material, were identified during the preparation of the Budget Submission, I have disclosed that information to the Hospital's Board of Directors and

verify that any such misrepresentations were corrected before the Budget Submission was submitted to the Green Mountain Care Board.

6. The following individuals have provided information or documents (as specified below) to me in connection with the Budget Submission:
 - a. Devin Bachelder, Decision Support and Budget Manager;
 - b. Prepared budgets based on various financial and statistical data. A substantial amount of the information relied upon to prepare the budget is based upon reports and data obtained from our financial software applications, through discussions with department managers, and review of industry statistics; and
 - c. Our Finance Department is the custodian of the documents that support the budget.
7. I acknowledge the Hospital's obligations to promptly notify the Green Mountain Care Board and supplement the Budget Submission in the event the information contained in the Budget Submission becomes untrue, inaccurate, or incomplete in any material respect.

I swear or affirm that the forgoing declarations are true and correct under penalty of perjury pursuant to 18 V.S.A. § 9456(h)(3).

Stephanie Breault

Stephanie Breault
Chief Financial Officer of Northwestern Medical Center

Date: 6/29/21

To be completed by Notary Public

State of Vermont, County of Franklin

Signed and sworn (or affirmed) before me on 6/29/2021 by Stephanie Breault

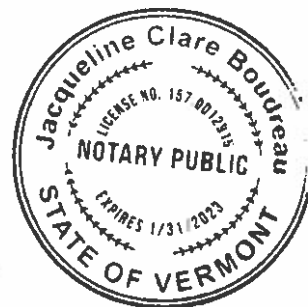
Date 6/29/21

Name of individual making statement: Jacqueline Boudreau

Signature of notary public Jacqueline Boudreau

Stamp

Title of office Executive Assistant [My commission expires: 1/31/2023



APPENDIX 1

APPENDIX 7(a) - VERIFICATION UNDER OATH FORM SEPARATE OATHS TO BE COMPLETED BY HOSPITAL'S CEO AND CFO

STATE OF VERMONT
Green Mountain Care Board

In re: FY 2021 Hospital Budget Submission Northwestern Medical Center.

Hospital CEO/CFO Verification on Oath or Affirmation

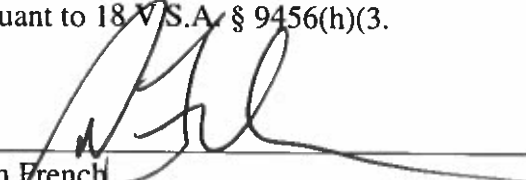
I, Dean French, make the following declarations based on my personal knowledge:

1. I am the Chief Executive Officer of Northwestern Medical Center ("Hospital"). I am a resident of Massachusetts, am over 18 years old, and am competent to testify to the information contained in this document.
2. I have reviewed the proposed FY 2022 budget and supporting materials the Hospital will submit to the Green Mountain Care Board ("Budget Submission").
3. Given the uncertainty related to the COVID-19 pandemic, to the best of my knowledge, information, and belief the information contained in the Budget Submission is the most accurate prediction and does not omit material facts necessary to provide a full and complete understanding of the Hospital's financial standing.
4. To the extent my verification is based on something other than my personal knowledge, it is based on information, opinions, reports, and statements, including financial statements and other financial data, I reasonably believe to be true, accurate, reliable, and complete. This information was presented or otherwise provided to me by the Hospital's officers, employees, legal counsel, public accountants, or other persons whom I reasonably believe are reliable, trustworthy, and have the necessary professional competence and expertise to advise on the matters presented.
5. Within the past 12 months, I have reviewed and am familiar with the policies and procedures by which the information contained in the Hospital's Budget Submission was prepared. I have determined that such policies and procedures are sufficiently effective to ensure that all information submitted or used by the Hospital in connection with the Budget Submission is true, accurate, and complete. I have disclosed to the Hospital's Board of Directors all significant deficiencies in such policies and procedures, of which I have personal knowledge after diligent inquiry. To the extent any misrepresentation of facts, whether or not material, were identified during the preparation of the Budget Submission, I have disclosed that information to the Hospital's Board of Directors and

verify that any such misrepresentations were corrected before the Budget Submission was submitted to the Green Mountain Care Board.

6. The following individuals have provided information or documents (as specified below) to me in connection with the Budget Submission:
 - a. Stephanie Breault, Chief Financial Officer; Devin Bachelder, Decision Support and Budget Manager;
 - b. Prepared budgets based on various financial and statistical data. A substantial amount of the information relied upon to prepare the budget is based upon reports and data obtained from our financial software applications, through discussions with department managers, and review of industry statistics; and
 - c. Our Finance Department is the custodian of the documents that support the budget.
7. I acknowledge the Hospital's obligations to promptly notify the Green Mountain Care Board and supplement the Budget Submission in the event the information contained in the Budget Submission becomes untrue, inaccurate, or incomplete in any material respect.

I swear or affirm that the forgoing declarations are true and correct under penalty of perjury pursuant to 18 V.S.A. § 9456(h)(3).



Dean French
Chief Executive Officer of Northwestern Medical Center

Date: 6/30/2021

To be completed by Notary Public

State of Vermont, County of Franklin

Signed and sworn (or affirmed) before me on 6/30/2021 by Dean French, MD

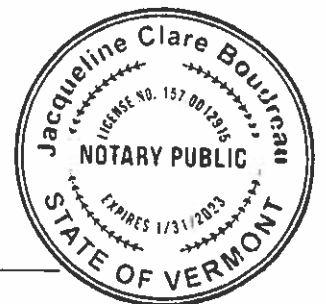
Date 6/30/2021

Name of individual making statement: Jacqueline Boudreau

Signature of notary public Jacqueline Boudreau

Stamp

Title of office Executive Assistant [My commission expires: 1/31/2023



APPENDIX 7

**APPENDIX 7(b) - VERIFICATION ON OATH OR AFFIRMATION
TO BE COMPLETED BY HOSPITAL'S BOARD CHAIR**

STATE OF VERMONT
Green Mountain Care Board

In re: FY 2022 Budget Submission of Northwestern Medical Center

Board Chair's Verification on Oath or Affirmation

I, Jake Holzscheiter, make the following declarations based on my personal knowledge:

1. I am the Chair of the Board of Directors of Northwestern Medical Center ("Hospital"). I am a resident of Vermont, am over 18 years old, and am competent to testify to the information contained in this document.
2. I have reviewed the proposed FY 2022 budget and supporting materials to be submitted by the Hospital to the Green Mountain Care Board ("Budget Submission").
3. On June 23, 2020, the Budget Submission was presented by the Hospital's Chief Financial Officer to the Board of Directors and was reviewed and approved by the Board of Directors on June 23, 2020.
4. I have in good faith relied upon representations by one or more officers or employees of the Hospital who are reliable and competent on this subject matter as permitted under 11B V.S.A. § 8.30(b) that the information contained in the Budget Submission is the most accurate prediction and does not omit material facts necessary to provide a full and complete understanding of the Hospital's financial standing. I do not have knowledge of or have a substantial reason to believe information that would make reliance on these representations unwarranted.
5. I acknowledge the Hospital's obligations to promptly notify the Green Mountain Care Board and supplement the Budget Submission in the event the information contained in the Budget Submission becomes untrue, inaccurate or incomplete in any material respect.

I swear or affirm that the forgoing declarations are true and correct under penalty of perjury pursuant to 18 V.S.A. § 9456(h)(3).



Jake Holzscheiter

Chair of Board of Directors of Northwestern Medical Center

Dated: 6/29/21

To be completed by Notary Public

State of Vermont, County of Franklin

Signed and sworn (or affirmed) before me on 6/29/2021 by Jacob Holzscheiter

Date June 29, 2021

Name of individual making statement: Tara Talley

Signature of notary public Tara Talley

Stamp



Title of office Notary Public [My commission expires: 01/31/2023]